ICH Q2 – the Challenge of Measuring Total Organic Carbon in Modern Pharmaceutical Water Systems

Abstract:
Total Organic Carbon (TOC) is one of the quality attributes defined in the European and USA pharmacopoeias for pharmaceutical waters. Modern water treatment systems can deliver such high purity water that TOC levels can be consistently close to zero and very difficult to measure with any accuracy. This paper discusses some of the challenges when using TOC analysers to demonstrate pharmacopeial TOC level compliance for modern water systems in the light of the ICH Q2 document from the International Conference on Harmonisation.

ICH Q2
In their ICH Q2 guidance document, Validation of Analytical Procedures, the International Conference on Harmonisation highlights characteristics for consideration during the validation of the analytical procedures. It contains terms and definitions that are meant to bridge the differences that often exist between various compendia and regulators of the EC, Japan and USA. Users of TOC analysers to measure the impurities present in pharmaceutical grade waters may find the advice and guidance useful.

TOC Analysis
TOC analysis in pharmaceutical grade waters is a non-specific test in that it effectively reports the weight in parts per billion (ppb) of carbon derived from organic material in the water, but it cannot discriminate from different types of organic material. In addition, it cannot report the actual amount of organic material present because the amount of carbon in an organic molecule varies between different organic materials. For example a sucrose molecule contains 12 carbon atoms, whereas a molecule of methanol contains only one carbon atom. Should a TOC analyser report 100ppb TOC, it may mean that the water contains a large number of molecules of an organic material that has very few carbon atoms present, or it may be that there is a much smaller number of molecules containing a larger number of carbon atoms per molecule.

<table>
<thead>
<tr>
<th>Total Organic Carbon: TOC</th>
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<tbody>
<tr>
<td>Total Carbon: TC</td>
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<tr>
<td>Total Inorganic Carbon: TIC</td>
</tr>
</tbody>
</table>

Fig.1 Common terms describing carbon in water

Measurement Accuracy
All TOC analysers used commonly in pharmaceutical water systems share the goal of oxidizing the organic material present in the water and then measuring the resultant carbon dioxide released from the oxidised organic molecule. Some analysers measure this carbon dioxide in gas phase, others measure in dissolved phase. The three most common methods used to oxidize the organic carbon are (1) exposure to ultra-violet (UV) light,(2) persulphate in the presence of UV light or (3) using a high temperature combustion system.

ICH Q2 discusses measurement accuracy and suggests accuracy may be inferred once precision, linearity and specificity have been established and suggests that linearity is established using a minimum of 5 concentrations of the traceable standard.

The Joint Committee for Guides in Metrology suggest in their Guide to the expression of uncertainty in measurement that the higher the level of complexity in a measurement, the higher the
measurement uncertainties due simply to the larger number of approximations and assumptions incorporated in the measurement method, thus impacting on the accuracy and the analyser’s ability to measure very low levels of analyte.

**Fig.2: TOC technologies. From top (counter clockwise): UV Persulphate combined with membrane conductometric detection, High Temperature Combustion and UV only**

**Specificity Challenges**

In their ICH Q2 guidance document Validation of Analytical Procedures\(^2\), the International Conference on Harmonisation highlights the need for an analytical procedure to have specificity, i.e. “the ability to assess unequivocally the analyte in the presence of components which may be expected to be present”\(^3\). A major challenge to measuring carbon dioxide from organic material in water is that pharmaceutical waters also contain relatively large amounts of total inorganic carbon (TIC) in the form of carbonates and dissolved carbon dioxide gas largely due to the increased concentration of dissolved carbon dioxide gas in the water caused by the reverse-osmosis process (RO) used to manufacture pharmaceutical water. This may impact both the sensitivity and specificity of the detection system especially when trying to measure very low TOC water in the presence of high concentration of TIC. This anomaly is emphasised in those TOC analysers that use multiple sensors to measure TC and TIC and then perform mathematical operations to subtract one from the other in order to estimate the TOC content (see Fig.3).
Analysers that rely on calculating the TOC from TC and TIC face a challenge when trying to measure very low amounts of TOC in the presence of relatively high amounts of TIC because relatively small inaccuracies between the TIC and TC sensors can lead to either over- or under-estimation of TOC\(^5\) (see Fig. 4).

![Fig.3 Calculating TOC from Total Carbon (TC) and Total Inorganic Carbon (TIC)](image)

**TOC = TC – TIC**

Inherent measurement uncertainties in the TOC analyser can lead to a potential inaccuracy in the reported TOC level of +/-78% as seen in the example in Fig.4, which leads to loss of confidence in the detection system and questions the reliability of the data to qualify pharmaceutical grade water. The problem is compounded for quality control laboratories wishing to measure TOC in their incoming water supply. Seasonal variations in TIC levels will mean that the user has to invest in a TIC removal device and constantly monitor the levels of TIC in their incoming water to make sure that it never exceeds the maximum level recommended by their TOC analyser manufacturer. Some analyser manufacturers recommend a maximum ratio of TIC to TOC of 10:1\(^5\), thus in a water sample containing 10ppb TOC, the TIC must not exceed 100ppb for that analyser to work correctly.

High temperature combustion analysers try to get around the problem of TIC by incorporating a TIC removal step. The water sample pH is shifted by the addition of an acid, forcing the TIC to precipitate out of solution in the form of carbon dioxide gas. The carbon dioxide from the TIC is then sparged out of solution by passing a CO\(_2\)-free carrier gas through the sample. These sparging cycles are of fixed duration and there is always a risk that not the entire TIC is removed leaving some residual to interfere with TOC estimation. Consequently users are forced to go through the unnecessary step of measuring TIC levels in the water sample to ensure that they do not exceed the maximum levels specified by their TOC analyser manufacturer.

An alternative is to monitor the TIC removal to ensure that the TIC is completely removed before commencing TOC analysis. This method avoids the TIC specificity challenge and TOC measurement accuracy is independent of the levels of TIC present. The method can be further improved by using a single CO\(_2\) sensor to measure both the TIC and the TOC. Instead of calculating TOC from TC and TIC, this method directly measures the CO\(_2\) from the TOC in a separate measurement once all of the TIC is completely removed. The measurement sensor accuracy of +/-2% now relates solely to the measured TOC value instead of the measured TC and TIC values used by the other methods.

Revisiting the example in Fig. 4 where the actual TOC value is 100ppb, this method would report the

<table>
<thead>
<tr>
<th>Total Carbon (TC) in water:</th>
<th>2,000ppb</th>
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</thead>
<tbody>
<tr>
<td>Total Inorganic Carbon (TIC) present:</td>
<td>1,900ppb</td>
</tr>
<tr>
<td><strong>Actual TOC present:</strong></td>
<td><strong>100ppb</strong></td>
</tr>
<tr>
<td>Analyser measurement accuracy:</td>
<td>+/-2%</td>
</tr>
<tr>
<td>Analyser measured TC:</td>
<td>between 1,960 and 2,040ppb</td>
</tr>
<tr>
<td>Analyser measured TIC:</td>
<td>between 1,862 and 1,938ppb</td>
</tr>
<tr>
<td><strong>Analyser calculated TOC:</strong></td>
<td><strong>between 22 and 178ppb</strong></td>
</tr>
</tbody>
</table>

![Fig.4 Example showing that TOC analysers that use multiple sensors to measure TC and TIC and then estimate TOC can suffer from measurement inaccuracies\(^5\)](image)
This alternative method of course relies on the analyser being able to measure the complete removal of the TIC. The sensor must be able to measure when the CO₂ from the TIC has been removed before the ultra violet light is turned on and oxidation of the organics to CO₂ commences.

The Detection Limit Challenge

The ICH Q2 guidance document differentiates between three analytical procedures: Identification, Testing for Impurities and Assay. Although the document suggests that the quantitation limit of an analyser may not be relevant in an impurity limit test, such as the TOC test, it does state that detection limit is an important characteristic for such tests.

As mentioned earlier in this paper, TOC analysers report the weight in parts per billion (ppb) of carbon derived from organic material in the water. This brings its own challenge as modern
pharmaceutical water systems may contain <10ppb TOC and many laboratory TOC analysis technologies will struggle to report accurately at these low levels. Thus the analyser is not able to report the level of TOC and the user is left with error messages such as “TOC level is below the limit of detection”. Of course many users do not realise this because the act of taking a grab sample from a water system will unavoidably contaminate the sample leading to TOC readings typically excess of 100ppb. So owners of very low TOC water systems may well be, in fact, measuring and reporting the TOC contamination from the grab sampling process but not the actual TOC in their water system.

Very low TOC levels are even more challenging for analysers that employing multiple sensors and estimate TOC by subtracting measured TIC from TC. The analyser may actually report an estimated TOC value, even when the inherent accuracy errors in the multiple sensors used to measure the TC and TIC can have such a large impact in the accuracy of the reported TOC value\(^5\), as shown in Fig. 4.

Whilst it is understood that some analysers cannot resolve down to these low levels of TOC accurately and will just report “TOC level is below the limit of detection”, it is disconcerting to release a batch of product using an absence of data when there might be a real possibility that the analyser actually failed to take a correct measurement because it ran out of carrier gas or oxidising reagent. The user must make sure that the carrier gas and reagents are present before and after analysis and this is typically done by adding certified 500ppb TOC standards into the batch of water samples to be analysed at the start, middle and end of the analyser’s autosampler tray. However, as laboratory TOC analysers are frequently set up and used overnight, a failure in carrier gas, or reagent supply during the night can mean that the user is aware that the results from the batch of water samples is not correct when they check the analyser the next day, but then cannot re-test the batch of water samples because the analyser has used all the samples up trying to analyse them during the night. This can leave the user with no proof that the water system was in compliance during the batch of product manufactured the day before.

**Conclusion**

Accurate total organic carbon analysis of low TOC modern pharmaceutical grade water faces many challenges. Instruments using multiple sensors to measure TC and TIC and then calculate the TOC can suffer from errors due to TC and TIC measurement inaccuracies\(^5\). Analysers using just one sensor to make the measurements can deliver a more accurate result because there are fewer approximations and assumptions in the measurement\(^4\).

Specificity in the presence of inorganic carbon is a challenge for many analysers. A more accurate method is to ensure and monitor the complete removal of TIC before measuring the TOC directly.

Many analyser designs are simply unable to measure low ppb TOC levels due to the analyser’s limit of detection due to the multiple approximations and assumptions in the measurement\(^4\). Although the pharmacopoeias require the TOC analyser to have a limit of detection of 50ppb\(^1\), this is just not sufficient when measuring water from a modern, low ppb TOC system.

Users wishing to use a wider ranging TOC analyser that uses a combination of oxidizing reagents and/or carrier gases should put in place methods and checks to ensure that the analyser cannot continue to carry out analyses and destroy water samples when the reagent or the gas run out. This can be a manual check, or can be designed into the analyser so that it continuously monitors all of the critical analysis parameters and stops the analysis should anything go wrong.

The guidance given in the ICH Q2\(^2\) guidance document can help users to determine the suitability of the design and performance of laboratory TOC analysers in the light of the challenges of measuring TOC in modern low-TOC water systems described in this paper.
References


2. International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use, *Validation Of Analytical Procedures: Text And Methodology Q2(R1)*, November 2005 [8th August 2014],


   [http://www.iso.org/sites/JCGM/GUM-JCGM100.htm](http://www.iso.org/sites/JCGM/GUM-JCGM100.htm) [8th August 2014]


About the Author

Tony Harrison is a Compliance and Applications Specialist for Beckman Coulter Life Sciences. An experienced engineer in water system TOC, pH, conductivity and ozone analysis, Tony has spent the last twelve years in applied metrology in the pharmaceutical and healthcare manufacturing industries. Prior to that, he worked for companies providing process control automation solutions for manufacturing industries.

Tony was joint-editor of the ISPE Guide to Ozone Sanitization of Pharmaceutical Water Systems and was also chief editor of the PHSS Best Practice Guide for Cleanroom Monitoring.

Tony is a well-known international speaker and has provided educational seminars on TOC, liquid particle counting, ozone sanitization for water systems and cleanroom monitoring in UK, France, Italy, India, Germany, Malaysia, China, USA, Scandinavia, Ireland, Hungary, Switzerland, Indonesia, Belgium, Greece, Switzerland, Turkey, Egypt and Denmark.

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